

|   |  |   |  |  |  |
|---|--|---|--|--|--|
| FORM PTO 1390<br>(REV 01-2003)  |  | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE |  | ATTORNEY'S DOCKET NUMBER<br>SON-2563                                 |  |
| TRANSMITTAL LETTER TO THE UNITED STATES<br>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br>CONCERNING A FILING UNDER 35 U.S.C. 371                |  |   |  | U.S. APPLICATION NO. (if known, see 37 CFR 1.53)<br><b>10/501153</b> |  |
| INTERNATIONAL APPLICATION NO.<br>PCT/JP03/00938   |  | INTERNATIONAL FILING DATE<br>30 January 03              |  | PRIORITY DATE CLAIMED<br>30 January 02                               |  |
| TITLE OF INVENTION SURFACE TREATMENT METHOD, SEMICONDUCTOR DEVICE, METHOD OF<br>FABRICATING SEMICONDUCTOR DEVICE, AND TREATMENT APPARATUS |  |   |  |  |  |
| APPLICANT(S) FOR DO/EO/US Koichiro Saga   |  |   |  |  |  |

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing 35 U.S.C. 371.
- ☒ This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.
- ☐ The US has been elected (Article 31).
- ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - ☐ is attached hereto (required only if not communicated by the International Bureau).
  - ☒ has been communicated by the International Bureau.
  - ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☒ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
  - ☒ is attached hereto.
  - ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
- ☒ Amendments to the claims of the International Application under PCT Article 19
  - ☐ are attached hereto (required only if not communicated by the International Bureau).
  - ☐ have been communicated by the International Bureau.
  - ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - ☒ have not been made and will not be made.
- ☐ An English language translation of the amendments to the claims under PCT Article 19
- ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
- ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 11 to 20 below concern document(s) or information included:

- ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- ☐ A preliminary amendment.
- ☐ An Application Data Sheet under 37 CFR 1.76.
- ☐ A substitute specification.
- ☐ A power of attorney and/or change of address letter.
- ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
- ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
- ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- ☒ Other items or information:

|  |   |  |
|--|---|--|
| U.S. APPLICATION NO. (If known, see 37 CFR 1.51)<br><b>10/495653</b><br>Unassigned | INTERNATIONAL APPLICATION NO.<br>PCT/SE02/02211 | ATTORNEY'S DOCKET NUMBER<br>003301-146 |
|--|---|--|

22. ☒ The following fees are submitted:

**BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):**

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO . . . . . \$1,080.00 (1611)

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO . . . . . \$920.00 (1613)

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International search fee (37 CFR 1.445(a)(2)) paid to USPTO . . . . . \$770.00 (1610)

International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4), . . . . . \$730.00 (1609)

International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) . . . . . \$100.00 (1612)

**ENTER APPROPRIATE BASIC FEE AMOUNT =** \$ 1,080.00

Surcharge of \$130.00 (1617) for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)). ☐ 20 ☐ 30

| CLAIMS                                      | NUMBER FILED | NUMBER EXTRA | RATE | \$                | \$          |
|---|--------------|--------------|------|-------------------|-------------|
| Total Claims                                | 33           | -20 =        | 13   | x \$18.00 (1615)  | \$ 234.00   |
| Independent Claims                          | 2            | -3 =         | 0    | x \$86.00 (1614)  | \$ 0.00     |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) |              |              |      | + \$290.00 (1616) |             |
| <b>TOTAL OF ABOVE CALCULATIONS</b>          |              |              |      |                   | \$ 1,314.00 |

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. + \$ 0.00

**SUBTOTAL =** \$ 1,314.00

Processing fee of \$130.00 (1618) for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492(f)). ☐ 20 ☐ 30 \$ 0.00

**TOTAL NATIONAL FEE =** \$ 1,314.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 (8021) per property +

**TOTAL FEES ENCLOSED =** \$ 1,314.00

Amount to be refunded :

charged :

22. ☒ A check in the amount of \$ 1,314.00 to cover the above fees is enclosed.

22. ☐ Please charge my Deposit Account No. 02-4800 in the amount of \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

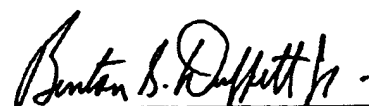
22. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-4800. A duplicate copy of this sheet is enclosed.

22. ☐ Charge \_\_\_\_\_ to credit card. Form PTO-2038 is attached.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Burns, Doane, Swecker & Mathis, L.L.P.  
P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(703) 836-6620



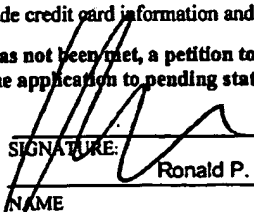
SIGNATURE

Benton S. Duffett, Jr.

NAME

22,030      May 17, 2004

REGISTRATION NO.      DATE

| U.S. APPLICATION NO. (PCT/PTO) <b>10/501155</b>   | INTERNATIONAL APPLICATION NO.<br><b>PCT/JP03/00938</b> | ATTORNEY'S DOCKET NUMBER<br><b>SON-2563</b>   |   |                           |      |  |              |         |    |      |           |                    |      |    |      |           |   |  |  |  |    |                                      |  |  |  |            |  |  |  |  |    |                   |  |  |  |            |  |  |  |  |    |                             |  |  |  |            |   |  |  |  |      |                              |  |  |  |            |  |  |  |  |                           |  |  |  |  |             |  |
|---|--|---|---|---------------------------|------|--|--------------|---------|----|------|-----------|--------------------|------|----|------|-----------|---|--|--|--|----|--------------------------------------|--|--|--|------------|--|--|--|--|----|-------------------|--|--|--|------------|--|--|--|--|----|-----------------------------|--|--|--|------------|---|--|--|--|------|------------------------------|--|--|--|------------|--|--|--|--|---------------------------|--|--|--|--|-------------|--|
| 21. <input checked="" type="checkbox"/> The following fees are submitted:<br><b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</b><br><input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1080.00<br><input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$920.00<br><input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$770.00<br><input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$730.00<br><input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00<br><br><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b><br>Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).  |  | <b>CALCULATIONS PTO USE ONLY</b><br><br><br><br><br><br><table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%; border-bottom: 1px solid black; text-align: right;">\$ 920.00</td> <td style="width: 40%; border-bottom: 1px solid black;"></td> </tr> <tr> <td style="border-bottom: 1px solid black; text-align: right;">\$</td> <td style="border-bottom: 1px solid black;"></td> </tr> </table> | \$ 920.00   |                           | \$   |  |              |         |    |      |           |                    |      |    |      |           |   |  |  |  |    |                                      |  |  |  |            |  |  |  |  |    |                   |  |  |  |            |  |  |  |  |    |                             |  |  |  |            |   |  |  |  |      |                              |  |  |  |            |  |  |  |  |                           |  |  |  |  |             |  |
| \$ 920.00   |  |   |   |                           |      |  |              |         |    |      |           |                    |      |    |      |           |   |  |  |  |    |                                      |  |  |  |            |  |  |  |  |    |                   |  |  |  |            |  |  |  |  |    |                             |  |  |  |            |   |  |  |  |      |                              |  |  |  |            |  |  |  |  |                           |  |  |  |  |             |  |
| \$  |  |   |   |                           |      |  |              |         |    |      |           |                    |      |    |      |           |   |  |  |  |    |                                      |  |  |  |            |  |  |  |  |    |                   |  |  |  |            |  |  |  |  |    |                             |  |  |  |            |   |  |  |  |      |                              |  |  |  |            |  |  |  |  |                           |  |  |  |  |             |  |
| <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 20%;">CLAIMS</th> <th style="width: 20%;">NUMBER FILED</th> <th style="width: 20%;">NUMBER EXTRA</th> <th style="width: 20%;">RATE</th> <th style="width: 20%;"></th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td style="text-align: center;">41-20 =</td> <td style="text-align: center;">21</td> <td style="text-align: center;">x 18</td> <td style="text-align: right;">\$ 378.00</td> </tr> <tr> <td>Independent claims</td> <td style="text-align: center;">13-3</td> <td style="text-align: center;">10</td> <td style="text-align: center;">x 86</td> <td style="text-align: right;">\$ 860.00</td> </tr> <tr> <td colspan="4">MULTIPLE DEPENDENT CLAIM(s) (if applicable)</td> <td style="text-align: right;">\$</td> </tr> <tr> <td colspan="4" style="text-align: right;"><b>TOTAL OF ABOVE CALCULATIONS =</b></td> <td style="text-align: right;">\$ 1818.00</td> </tr> <tr> <td colspan="4"> <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.         </td> <td style="text-align: right;">\$</td> </tr> <tr> <td colspan="4" style="text-align: right;"><b>SUBTOTAL =</b></td> <td style="text-align: right;">\$ 1818.00</td> </tr> <tr> <td colspan="4">Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).</td> <td style="text-align: right;">\$</td> </tr> <tr> <td colspan="4" style="text-align: right;"><b>TOTAL NATIONAL FEE =</b></td> <td style="text-align: right;">\$ 1818.00</td> </tr> <tr> <td colspan="4">Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property</td> <td style="text-align: right;">+ \$</td> </tr> <tr> <td colspan="4" style="text-align: right;"><b>TOTAL FEES ENCLOSED =</b></td> <td style="text-align: right;">\$ 1818.00</td> </tr> <tr> <td colspan="4"></td> <td style="text-align: right;">Amount to be refunded: \$</td> </tr> <tr> <td colspan="4"></td> <td style="text-align: right;">charged: \$</td> </tr> </tbody> </table> |  | CLAIMS  | NUMBER FILED  | NUMBER EXTRA              | RATE |  | Total claims | 41-20 = | 21 | x 18 | \$ 378.00 | Independent claims | 13-3 | 10 | x 86 | \$ 860.00 | MULTIPLE DEPENDENT CLAIM(s) (if applicable) |  |  |  | \$ | <b>TOTAL OF ABOVE CALCULATIONS =</b> |  |  |  | \$ 1818.00 | <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. |  |  |  | \$ | <b>SUBTOTAL =</b> |  |  |  | \$ 1818.00 | Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)). |  |  |  | \$ | <b>TOTAL NATIONAL FEE =</b> |  |  |  | \$ 1818.00 | Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property |  |  |  | + \$ | <b>TOTAL FEES ENCLOSED =</b> |  |  |  | \$ 1818.00 |  |  |  |  | Amount to be refunded: \$ |  |  |  |  | charged: \$ |  |
| CLAIMS  | NUMBER FILED   | NUMBER EXTRA  | RATE  |                           |      |  |              |         |    |      |           |                    |      |    |      |           |   |  |  |  |    |                                      |  |  |  |            |  |  |  |  |    |                   |  |  |  |            |  |  |  |  |    |                             |  |  |  |            |   |  |  |  |      |                              |  |  |  |            |  |  |  |  |                           |  |  |  |  |             |  |
| Total claims  | 41-20 =  | 21  | x 18  | \$ 378.00                 |      |  |              |         |    |      |           |                    |      |    |      |           |   |  |  |  |    |                                      |  |  |  |            |  |  |  |  |    |                   |  |  |  |            |  |  |  |  |    |                             |  |  |  |            |   |  |  |  |      |                              |  |  |  |            |  |  |  |  |                           |  |  |  |  |             |  |
| Independent claims  | 13-3   | 10  | x 86  | \$ 860.00                 |      |  |              |         |    |      |           |                    |      |    |      |           |   |  |  |  |    |                                      |  |  |  |            |  |  |  |  |    |                   |  |  |  |            |  |  |  |  |    |                             |  |  |  |            |   |  |  |  |      |                              |  |  |  |            |  |  |  |  |                           |  |  |  |  |             |  |
| MULTIPLE DEPENDENT CLAIM(s) (if applicable)   |  |   |   | \$                        |      |  |              |         |    |      |           |                    |      |    |      |           |   |  |  |  |    |                                      |  |  |  |            |  |  |  |  |    |                   |  |  |  |            |  |  |  |  |    |                             |  |  |  |            |   |  |  |  |      |                              |  |  |  |            |  |  |  |  |                           |  |  |  |  |             |  |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>  |  |   |   | \$ 1818.00                |      |  |              |         |    |      |           |                    |      |    |      |           |   |  |  |  |    |                                      |  |  |  |            |  |  |  |  |    |                   |  |  |  |            |  |  |  |  |    |                             |  |  |  |            |   |  |  |  |      |                              |  |  |  |            |  |  |  |  |                           |  |  |  |  |             |  |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.  |  |   |   | \$                        |      |  |              |         |    |      |           |                    |      |    |      |           |   |  |  |  |    |                                      |  |  |  |            |  |  |  |  |    |                   |  |  |  |            |  |  |  |  |    |                             |  |  |  |            |   |  |  |  |      |                              |  |  |  |            |  |  |  |  |                           |  |  |  |  |             |  |
| <b>SUBTOTAL =</b>   |  |   |   | \$ 1818.00                |      |  |              |         |    |      |           |                    |      |    |      |           |   |  |  |  |    |                                      |  |  |  |            |  |  |  |  |    |                   |  |  |  |            |  |  |  |  |    |                             |  |  |  |            |   |  |  |  |      |                              |  |  |  |            |  |  |  |  |                           |  |  |  |  |             |  |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).  |  |   |   | \$                        |      |  |              |         |    |      |           |                    |      |    |      |           |   |  |  |  |    |                                      |  |  |  |            |  |  |  |  |    |                   |  |  |  |            |  |  |  |  |    |                             |  |  |  |            |   |  |  |  |      |                              |  |  |  |            |  |  |  |  |                           |  |  |  |  |             |  |
| <b>TOTAL NATIONAL FEE =</b>   |  |   |   | \$ 1818.00                |      |  |              |         |    |      |           |                    |      |    |      |           |   |  |  |  |    |                                      |  |  |  |            |  |  |  |  |    |                   |  |  |  |            |  |  |  |  |    |                             |  |  |  |            |   |  |  |  |      |                              |  |  |  |            |  |  |  |  |                           |  |  |  |  |             |  |
| Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property   |  |   |   | + \$                      |      |  |              |         |    |      |           |                    |      |    |      |           |   |  |  |  |    |                                      |  |  |  |            |  |  |  |  |    |                   |  |  |  |            |  |  |  |  |    |                             |  |  |  |            |   |  |  |  |      |                              |  |  |  |            |  |  |  |  |                           |  |  |  |  |             |  |
| <b>TOTAL FEES ENCLOSED =</b>  |  |   |   | \$ 1818.00                |      |  |              |         |    |      |           |                    |      |    |      |           |   |  |  |  |    |                                      |  |  |  |            |  |  |  |  |    |                   |  |  |  |            |  |  |  |  |    |                             |  |  |  |            |   |  |  |  |      |                              |  |  |  |            |  |  |  |  |                           |  |  |  |  |             |  |
|   |  |   |   | Amount to be refunded: \$ |      |  |              |         |    |      |           |                    |      |    |      |           |   |  |  |  |    |                                      |  |  |  |            |  |  |  |  |    |                   |  |  |  |            |  |  |  |  |    |                             |  |  |  |            |   |  |  |  |      |                              |  |  |  |            |  |  |  |  |                           |  |  |  |  |             |  |
|   |  |   |   | charged: \$               |      |  |              |         |    |      |           |                    |      |    |      |           |   |  |  |  |    |                                      |  |  |  |            |  |  |  |  |    |                   |  |  |  |            |  |  |  |  |    |                             |  |  |  |            |   |  |  |  |      |                              |  |  |  |            |  |  |  |  |                           |  |  |  |  |             |  |
| a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.<br>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>18-0013</u> in the amount of \$ <u>1818.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.<br>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>18-0013</u> . A duplicate copy of this sheet is enclosed.<br>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.   |  |   |   |                           |      |  |              |         |    |      |           |                    |      |    |      |           |   |  |  |  |    |                                      |  |  |  |            |  |  |  |  |    |                   |  |  |  |            |  |  |  |  |    |                             |  |  |  |            |   |  |  |  |      |                              |  |  |  |            |  |  |  |  |                           |  |  |  |  |             |  |
| <b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.<br>SEND ALL CORRESPONDENCE TO:<br>Ronald P. Kananen<br>RADER, FISHMAN & GRAUER PLLC<br>1233 20th Street, N.W.<br>Suite 501<br>Washington, DC 20036<br>(202) 955-3750<br>July 13, 2004  |  |   | SIGNATURE: <br>NAME: <u>Ronald P. Kananen</u><br>REGISTRATION NUMBER: <u>24,104</u> |                           |      |  |              |         |    |      |           |                    |      |    |      |           |   |  |  |  |    |                                      |  |  |  |            |  |  |  |  |    |                   |  |  |  |            |  |  |  |  |    |                             |  |  |  |            |   |  |  |  |      |                              |  |  |  |            |  |  |  |  |                           |  |  |  |  |             |  |

|  |   |   |
|--|---|---|
| Substitutes for Form<br>PTO-1300<br><b>U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</b><br><b>TRANSMITTAL LETTER TO THE UNITED STATES</b><br><b>DESIGNATED/ELECTED OFFICE (DO/EO/US)</b><br><b>CONCERNING A FILING UNDER 35 U.S.C. 371</b>  |   | <b>ATTORNEY'S DOCKET NUMBER</b><br>003301-146<br>U.S. APPLICATION NO. (if known, see 37 CFR 1.5)<br><b>10/495653</b><br>PRIORITY DATE CLAIMED<br>30 November 2001 |
| INTERNATIONAL APPLICATION NO.<br>PCT/SE02/02211  | INTERNATIONAL FILING DATE<br>29 November 2002 |   |
| TITLE OF INVENTION<br>ELECTROCHEMICAL DEVICE   |   |   |
| APPLICANT(S) FOR DO/EO/US<br>THOMAS KUGLER, MAGNUS BERGGREN, TOMMI REMONEN, ANNA INGALILL MALMSTRÖM,<br>BJÖRN KNUTHAMMAR, and PETRONELLA NORBERG   |   |   |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  |   |   |
| 1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission to items concerning a filing under 35 U.S.C. 371.<br>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.<br>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.<br>4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).<br>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))<br>a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).<br>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.<br>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).<br>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))<br>a. <input type="checkbox"/> is attached hereto.<br>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).<br>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))<br>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).<br>b. <input type="checkbox"/> have been communicated by the International Bureau.<br>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.<br>d. <input checked="" type="checkbox"/> have not been made and will not be made.<br>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).<br>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (Signed Declaration will follow).<br>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).<br>Items 11 to 21 below concern document(s) or information included:<br>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.<br>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.<br>13. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.<br>14. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.<br>15. <input type="checkbox"/> A substitute specification.<br>16. <input type="checkbox"/> A change of power of attorney and/or address letter.<br>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821 - 1.825.<br>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).<br>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).<br>20. <input checked="" type="checkbox"/> Other items or information: <u>A certified copy of Swedish Patent Application No. 0104026-0, filed 30 November 2001, was submitted during the international phase of examination. Thus, the claim for priority has been perfected.</u><br>21. <input type="checkbox"/> Applicant(s) requests that the published application include the following assignment information: _____<br>_____<br>_____<br>_____ |   |   |